

SafetyFirst



Association of American Railroads

Security Alert Levels

The Association of American Railroads (AAR), in association with segments of the railroad industry including Class 1 railroads, the American Short Line and Regional Railroad Association (ASLRRA), customers and various regulatory agencies, has developed a system of Alert Levels relative to railroad security.

AAR telephone numbers are:

NON EMERGENCY:	1-202-639-2950	1-202-639-2230
EMERGENCY:	1-202-639-2910	1-866-494-4353

These Alert Levels are:

- Level 1** New normal day-to-day operations
- Level 2** Heightened security awareness
- Level 3** A credible threat of an attack on the U.S. or railroad industry
(continuously reevaluated)
- Level 4** A confirmed threat of attack against the railroad industry or actual attack in the
U.S. (implemented up to 72 hours and reevaluated)

The current Alert Level is supplied from the AAR via the ASLRRA and will be carried in the (DOB).

The following actions will be taken upon the declaration of an alert level. These actions include mechanical, engineering and transportation actions for each of the four levels.

Alert Level 1 Actions

- a) Remain aware of environment and current situation
- b) Report suspicious activity to police or proper authority
- c) Do not disclose information to anyone who does not have a need to know
- d) Periodically test that security systems are operating as intended
- e) Be alert for transients on trains or in trailing locomotive

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AAR - Security Alert Level 2

Alert Level 2 Actions

- a) **All Level 1 Actions plus:**
- b) Review procedures for each alert level as implemented
- c) Include security and awareness briefings as part of daily job briefings
- d) Increase vigilance and scrutiny of railcars and equipment during mechanical inspections, looking for unusual items mounted on, under or, where possible, in cars, with special attention to military, dangerous goods/hazardous materials and other sensitive freight
- e) Increase inspections of fuel storage and handling facilities
- f) Conduct spot identification checks
- g) Increase scope of inspections of freight cars for potential threats and/or explosives
- h) Conduct spot content inspections of motor vehicles on railroad property
- i) Conduct spot content inspections of cars and containers for cause

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AAR - Security Alert Level 3

Alert Level 3 Actions

Alert Level 3 can be declared industry wide for a short period of time or can apply in the immediate geographic or operational area (e.g., Mid-West or HAZMAT) where or when intelligence has identified that terrorist action against a more specific location or operation is imminent.

- a) **All actions in Alert Level 2.**
- b) Work with shippers to expedite unloading of certain hazardous materials, lower inventory in yards, and decrease hazardous materials storage.
- c) Stop operating Alert trains within 1/2 mile of major public venues with major events in progress (e.g., NFL football game) or reroute them around venues while events are in progress.
- d) Provide additional surveillance and inspections for Alert trains.
- e) Eliminate customer storage of certain hazardous materials loads on railroad owned (leased) track within 1/2 mile of major public venues with major events in progress.
- f) Increase security at designated facilities.
- g) Implement physical security controls at designated facility entrances (like headquarters, data centers, customer service centers, crew management centers, operations centers, and telecommunications centers).
- h) At designated fuel storage and handling facilities, implement 24-hour surveillance.
- i) Stop parking within the appropriate distance of any fuel storage, fuel pumping equipment or locomotive fueling pad.
- j) At critical tunnels, increase surveillance of tunnel facilities.
- k) Increase track inspections of tunnels and bridges.
- l) Restrict unauthorized vehicle access to bridges and tunnels.
- m) With trained staff or contractors conduct periodic, thorough inspections of critical backup utility systems.
- n) Where installed, activate interior access controls at headquarters, data centers, customer service centers, crew

management centers, operations centers, and telecommunications centers.

- o) Increase vigilance in checking employee identification.
- p) Crews immediately notify dispatcher of any unusual stops (red signals, defect detectors).
- q) Dispatchers notify security of any unusual stops.
- r) Require regular location / status reports by Alert trains operating in dark territory.
- s) Consider increasing fuel inventories at select locations.
- t) Review and verify new / unknown intermodal customers.
- u) Encourage "third parties" to review and verify new / unknown customers.
- v) Prioritize Alert trains to avoid holdout status on entering yards in urban areas.
- w) Check communications with water facility/plants in the event of a derailment or attack near a water inlet.

(Revised 1-15-08)

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Alert Level 4 Actions

Alert Level 4 can be declared industry wide for a short period of time (72- hours) or can apply in the immediate geographic or operational area (e.g., Mid-West or HAZMAT) where a terrorist attack has occurred or when intelligence has confirmed that terrorist action against a specific location or operation is imminent.

- a) All actions in Alert Level 3.**
- b) Ensure integrity of switches at dedicated catalyst facilities.
- c) Stop non-mission-essential contract services with access to critical facilities and systems.
- d) Move external trash containers, dumpsters, and other containers (newspaper) away from all facilities and structures, except in secured areas.
- e) Increase vigilance and scrutiny of railcars and equipment during mechanical inspections looking for unusual items.
- f) Verify identity of crewmembers picking up outbound locomotives at service facility or on line.
- g) Escort crews to Alert trains (where possible) or use verified contract drivers.
- h) Crews on Alert trains stopped enroute due to unusual occurrences (stop signal, defect detector) immediately notify dispatcher and dispatcher immediately deploys assistance.
- i) Evaluate the need and practicality for armed escort to shadow Alert trains in highly populated areas (in coordination with police).
- j) Dispatchers verify to crews absolute meet/pass points with passenger trains.
- k) When instructed by dispatch, eliminate requirement for ground roll by inspections.

- l) Limit unattended and unsecured locomotives and locomotives on a train on line of the road.
 - m) Do not operate Alert trains in tunnel at same time with passenger trains.
 - n) Stop accepting Military Munitions Shipments unless additional security is provided. All en-route shipments will either be promptly forwarded to destination or placed in a secure environment.
 - o) Stop acceptance of certain hazardous material shipments at origin. All en-route shipments will either be promptly forwarded to destination or placed in a secure environment.
- (Revised 1-15-08)**